



**UNITED STATES PATENT AND TRADEMARK OFFICE**

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/538,038	03/29/2000	James Beasley	1878-4051

Morgan & Finnegan LLP  
345 Park Avenue  
New York, NY 10154-0053



**FORMALITIES LETTER**



\*OC000000005464769\*

Date Mailed: 10/11/2000

**NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

***Filing Date Granted***

The U.S. Patent and Trademark Office has received your reply on 09/25/2000 to the Notice to File Missing Parts (Notice) mailed 05/25/2000 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is unsigned.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
  
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

Miki May  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/04/2000 YPOLITE1 00000028 134500 09538038

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : James Beasley, et al. Group Art Unit: TBA  
Serial No. : 09/538,038 Examiner: TBA  
Filed : March 29, 2000  
For: INSULIN AND IGF-1 RECEPTOR AGONISTS AND ANTAGONISTS

Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: BOX MISSING PARTS



EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL513414132US

Date of Deposit September 25, 2000

I hereby certify that attached:

1. Response to Notice to file Missing Parts of NonProvisional Application, in duplicate;
2. Petition and Fee for Extension of time, in duplicate;
3. Executed Combined Declaration and Power of Attorney (5 documents),
4. Statement to Support Filing and Submission in Accordance with 37 CFR Sections 1.821-1.825;
5. A paper copy of the Sequence Listing (813 pages);
6. A computer readable diskette containing the computer readable Sequence Listing;
7. Amendment to Comply with 37 CFR Sections 1.821-825;
8. Express Mail Certificate bearing Express Mail No. EL513414132US; and
9. Return postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Francisco Garcia

Typed or printed name of person  
mailing paper(s) and/or fee)

Francisco J. Garcia  
(Signature of person mailing paper(s)  
and/or fee)

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.

345 Park Avenue

New York, New York 10154

(212) 758-4800 (Facsimile: (212) 751-6849)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : James Beasley, et al. Group Art Unit: TBA  
Serial No. : 09/538,038 Examiner: TBA  
Filed : March 29, 2000  
For: INSULIN AND IGF-1 RECEPTOR AGONISTS AND ANTAGONISTS

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**



COMMISSIONER OF PATENTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of NonProvisional Application, mailed on May 25, 2000 (a copy of which is enclosed) attached are:

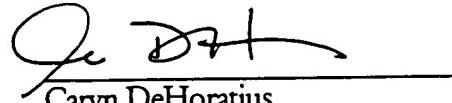
1. Petition and Fee for Extension of time, in duplicate;
2. Executed Combined Declaration and Power of Attorney (5 documents),
3. Statement to Support Filing and Submission in Accordance with 37 CFR Sections 1.821-1.825;
4. A paper copy of the Sequence Listing (813 pages);
5. A computer readable diskette containing the computer readable Sequence Listing;  
and
6. Amendment to Comply with 37 CFR Sections 1.821-825.

The Commissioner is authorized to charge the sum of \$130 representing payment of the surcharge for late filing to Deposit Account No. 13-4500, Order No. 1878-4051. A duplicate copy of this communication is attached.

Respectfully submitted

Date September 25, 2000

By

  
Caryn DeHoratius  
Registration No. 45,881

Morgan & Finnegan, L.L.P.  
345 Park Avenue  
New York, New York 10154  
Tel. No. (212) 758-4800  
Fax. No. (212) 751-6849

Case N . 1878-4051 Serial No. 09/538,038  
Date Mailed September 25, 2000 ATTY KHS/CDH  
Date Due in the Patent Office September 25, 2000

The return of this post card, properly stamped, will  
acknowledge receipt in the Patent & Trademark Office  
as follows:

1. Response to Notice to file Missing Parts of NonProvisional Application, in duplicate;
2. Petition and Fee for Extension of time, in duplicate;
3. Executed Combined Declaration and Power of Attorney (5 documents),
4. Statement to Support Filing and Submission in Accordance with 37 CFR Sections 1.821-1.825;
5. A paper copy of the Sequence Listing (813 pages);
6. A computer readable diskette containing the computer readable Sequence Listing;
7. Amendment to Comply with 37 CFR Sections 1.821-825;
8. Express Mail Certificate bearing Express Mail No. EL513414132US; and
9. Return postcard.

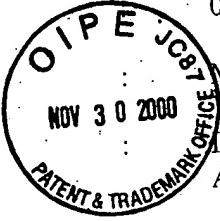


*Sector*  
Docket No. 1878-4051  
*#5*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : BEASLEY ET AL

Serial No. : 09/538,038

Filed : March 29, 2000  
*NOV 30 2000*  


For : INSULIN AND IGF-1 RECEPTOR AGONISTS AND ANTAGONISTS

Group Art Unit : To be Assigned

Examiner : To be Assigned

BOX: MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

Sir:

I hereby certify that the attached (1) Response to Notice of Incomplete Reply Non provisional application; (2) Copy of Express Mail certificate; (3) copy of executed Combined Declaration and Power of Attorney documents; (4) copy of postcard dated September 25, 2000 and (5) return postcard; with this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to: **Box Missing Parts, COMMISSIONER FOR PATENTS, Washington, D.C. 20231.**

Respectfully submitted,



F. Garcia

Dated: November 27, 2000

CORRESPONDENCE ADDRESS:  
MORGAN & FINNEGAN L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 (Facsimile)

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : James BEASLEY et al.

Serial No. : 09/538,038 Group Art Unit: To Be Assigned

Filed : March 29, 2000 Examiner: To Be Assigned

For: INSULIN AND IGF-1 RECEPTOR AGONISTS AND ANTAGONISTS

RESPONSE TO NOTICE OF INCOMPLETE REPLY  
NONPROVISIONAL APPLICATION

COMMISSIONER OF PATENTS  
Washington, D.C. 20231



Sir:

Applicants have received a Notice of Incomplete Reply (NonProvisional Application), mailed on October 11, 2000 (a copy of which is enclosed). The Notice states that our response, submitted September 25, 2000, did not include the oath or declaration and that the surcharge of \$130.00 was not received.

Enclosed in support of our original submission, please find the following:

- (1) Copy of Response to Notice to File Missing parts of Nonprovisional Application dated September 25, 2000, forwarding the Combined Declaration and Power of Attorney (item #2) and Authorization to charge the surcharge to our Deposit Account No. 13-4500, Order No. 1878-4051.
- (2) Copy of Express Mail Certificate, indicating that the executed Combined Declaration and Power of Attorney documents were mailed on September 25, 2000.
- (3) Copy of the executed Combined Declaration and Power of Attorney documents mailed on September 25, 2000.
- (4) Copy of postcard dated September 25, 2000, indicating the executed Combined Declaration and Power of Attorney were received by the U.S. Patent and Trademark Office.

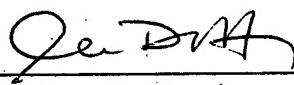
In addition, Applicants are resubmitting copies of the executed Combined Declaration and Power of Attorney documents filed in five (5) counterparts and the transmittal letters forwarding these documents.

Authorization to charge the \$130.00 fee under 37 C.F.R. §1.16(e) was authorized in our original Response to Notice to File Missing Parts Of NonProvisional Application, however the charge was not made to our Deposit Account. Therefore, the Commissioner is hereby authorized to charge the sum of \$130.00 representing payment of the surcharge filing to Deposit Account No. 13-4500, Order No. 1878-4051. A duplicate copy of this communication is attached for that purpose.

A Petition and Fee for Extension of Time for Response to the Notice to File Missing Parts of Non-Provisional Application dated May 25, 2000 was charged against our Deposit Account No. 13-4500, Order No. 1878-4051.

No additional extensions of time or fees are believed to be necessary for the consideration of this response. However, should an additional extension of time or fee be required for consideration of the instant response, such an additional extension of time or fee is hereby petitioned. The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1878-4051.

Respectfully submitted

By:   
Caryn DeHoratius  
Registration No. 45,881

Date: November 27, 2000

CORRESPONDENCE ADDRESS:

Morgan & Finnegan, L.L.P.  
345 Park Avenue  
New York, New York 10154  
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Fax. No. (212) 751-6849